



## 《個人資料(私隱)條例》

致尊貴客戶:

1. 財華證券有限公司(“財華證券”), 將跟從個人資料(私隱)條例(《香港法例》第486章)的規定, 竭力保存客戶之個人資料, 確保客戶之個人資料得到妥善保存, 不被非法使用、遺失、披露及損失。
2. 無論任何時候, 客戶均需向財華證券提供個人資料(「資料」), 以作個人資料(私隱)條例下之用途。有關客戶的資料(或其他資料)可能用於以下用途:
  - 向客戶提供之服務及設施之日常運作;
  - 進行信貸審查及協助其他機構進行信貸審查;
  - 確保客戶的信用維持良好;
  - 設計供客戶使用之金融服務或相關產品;
  - 向客戶推廣金融服務及相關產品;
  - 釐定拖欠客戶或客戶拖欠之債務金額;
  - 向客戶收取拖欠之金額, 利息及手續費;
  - 根據任何法例或規例之規定符合作出披露之要求; 及
  - 與任何有關客戶及帳戶之任何用途。
3. 財華證券持有之客戶有關的資料(及其他資料)將會保密, 但財華證券可向以下人士披露所有資料(及其他資料), 而客戶同意披露所有資料(及其他資料)亦是財華證券向客戶提供服務、產品及資料之條件:
  - 任何向財華證券提供有關其業務運作之行政、信貸資料、債務追討、電訊、電腦、繳款或其他服務之高級職員、僱員、代理、承包商或第三者;
  - 客戶已有或擬與之進行交易之任何金融機構;
  - 監管當局及其他有關政府機構;
  - 任何有責任為財華證券保密之其他人士, 包括財華證券以內承諾保持此等資料機密之公司;
  - 財華證券內公司之間對客戶之資料使用, 須依據嚴格之內部安全標準、保密政策及適用法律;
  - 財華證券必須約束其下僱員完全遵守該等標準、政策及法律; 及
  - 除為了進行業務、遵守適用法律、保護免受欺詐或作出我們認為可能符合客戶利益之產品及服務優惠外, 財華證券不會將有關客戶的資料分發予其他公司。財華證券亦可依據適用法律向監管當局及執法人員提供資料。
4. 財華證券對任何向客戶提供之訊息及資料絕不牽涉或承擔任何義務、職責或責任。
5. 依據個人資料(私隱)條例之條款, 財華證券有權就處理任何資料索取查閱之申請收取合理費用。
6. 如果客戶的資料不小心地、沒有留意地或疏忽地被寄出、洩漏、發出或傳出給第三者, 客戶不能要求財華證券對上述行為負責及不能向財華證券採取任何的索償、訴訟或法律行動去追討客戶的任何損失或損害。
7. 如果英文的註釋和中文的註釋有衝突時, 會以英文的註釋為準。

如有任何問題, 請向你經紀或本公司客戶服務部熱線(+852 2869 8800)查詢

財華證券有限公司

本函由電腦系統編印, 不需加簽



財華證券有限公司

Finet Securities Limited

(SFC CE No. 證監會中央編號: AAH574)

## Personal Data (Privacy) Ordinance

Dear valued client,

1. Finet Securities Limited (“FINET”) is committed to maintaining the personal data of their clients (the “Clients”) in accordance with the requirements of the Personal Data (Privacy) Ordinance (Chapter 486 of the Laws of Hong Kong) (the “PDPO”) and will take all reasonable steps to ensure that the Clients’ personal data are kept secure against unauthorized access, loss, disclosure and destruction.
2. From time to time, it is necessary for the Clients who are individuals to supply FINET with data which are personal data for the purposes of the PDPO (the “Data”). The purposes for which the Data relating to the Clients may be used are as follows:
  - the opening, daily operation and provision of services and facilities provided to the Clients;
  - conducting credit checks and enquiries on the Clients and assisting other institutions to conduct credit checks on the Clients;
  - ensuring the Clients’ ongoing creditworthiness;
  - designing financial services or related products for the Clients;
  - marketing current and future financial services or related products to the Clients;
  - determining the amount of indebtedness owed to or by the Clients;
  - collection of amounts outstanding from the Clients, enforcement of securities, charges or other rights and interests against the Clients;
  - meeting the requirements to make disclosure under the requirements of any law or regulations and
  - any other purposes relating to or incidental to the Clients and the Account.
3. Data (and other information) held by FINET relating to the Clients will be kept confidential but FINET may disclose, and it is a condition for provision of services, products and information by FINET to the Clients that the Clients consent to the disclosure of, all Data to:
  - any officer, employee, agent, contractor or third party employed by or who otherwise provides administrative, data processing, financial, credit information, debt collection, telecommunications, computer, payment, securities dealing, clearing, settlement or other services to FINET in connection with the operation of their business;
  - any financial institution with which the Clients have or propose to have dealings;
  - regulatory authorities, law enforcement officials and other relevant government bodies;
  - any other person under a duty of confidentiality to FINET including (but not limited to) a company within FINET which has undertaken to keep such information confidential;
  - FINET share Data of the Clients among FINET only in accordance with their strict internal security standards and confidentiality policies and applicable laws and regulations;
  - FINET hold their employees fully accountable for adhering to the internal security standards and confidentiality policies and applicable laws and regulations for the purposes of the PDPO; and
  - FINET do not share Data about the Clients with other companies except in order to conduct their business, comply with applicable laws and regulation, protect against fraud or make available special offers of products and services that they feel may be of interest to the Clients.
4. None of the members of FINET shall have any liability to the Clients if any information supplied by the Clients or on their behalf is incorrect or inaccurate.
5. In accordance with the PDPO, FINET have the right to charge the Clients a reasonable fee for the processing of any Data access request.
6. In the event that the Client’s data are inadvertently, unintentionally or negligently sent, released, distributed or disseminated to any third parties, the Clients shall not hold FINET liable for any such acts and shall not institute any claims, proceedings and/or court actions against FINET to recover any loss or damage whatsoever caused to the Clients.
7. If there is any inconsistency between the English and Chinese version, the English version shall prevail.

If you have any questions, please contact your account executive or Customer Services Department at +852 2869 8800.

Your faithfully,

For and on behalf of

Finet Securities Limited

This computer generated advice does not require a signature.

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