



To 致: Finet Securities Limited 財華證券有限公司

Fax No. 傳真號碼: +852 21529795

Email 電郵地址: cs@finetsecurities.com

DORMANT ACCOUNT REACTIVATION FORM (CORPORATION/ INSTITUTION ACCOUNT)

重新啟動不動戶表格 (公司/機構帳戶)

Name of Account Holder 帳戶名稱: _____

Securities/ Futures Account No. 證券/期貨帳戶號碼: _____

Has there been any change to your particulars or financial profile 貴公司之資料或財務概況是否有改變?

Yes 是 -> Please answer the relevant question(s) 請回答相關問題

No 否 -> Please go to question 6 請跳到問題六

1 CLIENT PARTICULARS 客戶資料

Registered Address 註冊地點: _____

Date of Incorporation 註冊日期: _____

Certificate of Incorporation No.
公司註冊證明書: _____

Business Registration Certificate No.
商業登記證號碼: _____

Place of Incorporation 註冊地址: _____

Office No. 辦公室電話號碼: _____

Mobile No. 流動電話號碼: _____

Fax No. 傳真號碼: _____

Email Address 電郵地址: _____

Correspondence Address 通訊地址: _____

Nature of Business 業務性質: _____

2 Bank Details 銀行資料

Name of Bank 銀行名稱: _____

Bank Account No. 銀行帳戶號碼 /
Currency 貨幣: _____

Banker(s) Address 銀行地址: _____

Type of Account 戶口種類: _____



3 Corporate Structure 公司結構

Particulars of individuals own or hold the issued share capital of the client either directly or indirectly through intermediary company(ies).

代中間公司持有股之個人資料：

Name 姓名：	% of holding	Address 地址
_____	_____	_____
_____	_____	_____

4 Particulars of Directors of the Client 客戶董事資料

Name 姓名：	H.K.I.D. Card/Passport No. 身份證/護照號碼	Address 地址
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

5 Financial Profile 財務概況

(a) Annual Net Profit Before Tax (HK\$) 每年稅前純利（以港元計）：

- | | | |
|---|--|--|
| <input type="checkbox"/> ≤ \$250,000 | <input type="checkbox"/> \$250,001 - \$500,000 | <input type="checkbox"/> \$500,001 - \$1,000,000 |
| <input type="checkbox"/> \$1,000,001 - \$10,000,000 | <input type="checkbox"/> \$10,000,001 - \$50,000,000 | <input type="checkbox"/> > \$50,000,000 |

(b) Net Assets (HK\$) 資產淨值（以港元計）：

- | | | |
|--|---|--|
| <input type="checkbox"/> ≤ \$50,000 | <input type="checkbox"/> \$50,001 - \$200,000 | <input type="checkbox"/> \$200,001 - \$1,000,000 |
| <input type="checkbox"/> \$1,000,001 - \$5,000,000 | <input type="checkbox"/> \$5,000,001 - \$10,000,000 | <input type="checkbox"/> \$10,000,001 - \$50,000,000 |
| <input type="checkbox"/> > \$50,000,000 | | |

(c) Liquid Assets (HK\$) 流動資產（以港元計）：

- | | | |
|--|---|--|
| <input type="checkbox"/> ≤ \$50,000 | <input type="checkbox"/> \$50,001 - \$200,000 | <input type="checkbox"/> \$200,001 - \$1,000,000 |
| <input type="checkbox"/> \$1,000,001 - \$5,000,000 | <input type="checkbox"/> \$5,000,001 - \$10,000,000 | <input type="checkbox"/> \$10,000,001 - \$50,000,000 |
| <input type="checkbox"/> > \$50,000,000 | | |

(d) Ongoing Source(s) of Funds 持續資金來源：

- | | | |
|---|---|--|
| <input type="checkbox"/> Operational Income 營運收入 | <input type="checkbox"/> Sale of Investment 出售投資 | <input type="checkbox"/> Investment Income 投資收入 |
| <input type="checkbox"/> Rental Income 租金收入 | <input type="checkbox"/> Interest Income 利息收入 | <input type="checkbox"/> External Borrowing 對外借貸 |
| <input type="checkbox"/> Funds from Shareholder(s) 股東資金 | <input type="checkbox"/> Others 其他 (Please specify 請說明) _____ | |

(e) Country(ies) of Origin(s) of Funds 資金來源地：

- | | | | |
|---|--|---|--|
| <input type="checkbox"/> Hong Kong 香港 | <input type="checkbox"/> Mainland China 中國內地 | <input type="checkbox"/> Macau 澳門 | <input type="checkbox"/> Singapore 新加坡 |
| <input type="checkbox"/> United States 美國 | <input type="checkbox"/> Canada 加拿大 | <input type="checkbox"/> Others 其他 (Please specify 請說明) _____ | |



6 Authorized Person(s) who can operate the Account(s) and/or permitted to act in accordance with the Client Agreement on behalf of the account holder ("Authorized Person(s)"). Please provide the following information of the Authorized Person(s)

可代表帳戶持有人操作本帳戶及可按客戶協議作出其他事宜之授權人(「授權人」), 請提供其資料如下:

	(A)	(B)	(C)
Name in English			
中文姓名			
Identity Card/Passport No. 身份證/護照號碼			
Date of Birth 出生日期 (DD/MM/YYYY)			
Nationality 國籍			
Residential Address 住址			
Correspondence Address 通訊地址 (if differ from above) (如住址不同)			
Is the Authorized Person a U.S.citizen, a green card holder or residing in the U.S.? 獲授權人士是否美國公民、綠咭持有人或居位於美國?	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否
Was the Authorized Person born in the U.S.? 獲授權人士是否出生於美國?	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否
Telephone No. 電話號碼			
Relationship with the Account Holder and Job Title/ Occupation 與帳戶持有人之關係及職銜/職業			

7 Declaration of Tax Status 稅務身份聲明

Is the Client or the Account Ultimate Owner a taxpayer of the United States of America, such as a citizen of the United States of America, a resident of the United States of America for US federal income tax purposes who holds a US Green Card or meets a US "substantial presence" test as prescribed under the US federal income tax law.

客戶或戶口最終擁有人是否是美國納稅人, 如美國公民、美國稅法定義下的美國居民包括美國綠卡持有人或符合美國聯邦稅法規定「實質居留」測試的人士?

Yes 是 (If yes, please provide W-9 form to declare tax status. 如是, 請提供W-9表格證明稅務身份。)

No 否



8 Declaration of Collection and Use of Personal Data for Direct Marketing 收集及使用個人資料用於直接促銷之聲明

I/We hereby acknowledge and confirm that the Notice of Collection and Use of Personal Data for Direct Marketing under the Personal Data (Privacy) Ordinance have been provided to me/us in a language (English or Chinese) of my/our choice and I/we have been invited to read it carefully, ask questions and seek independent advice if I/we so wish. I/We also confirm that I/we have retained a copy thereof for my/our reference. I/We:-

本人／吾等謹此承認並確定本人／吾等已獲得按照本人／吾等所選語言（中文或英文）的個人資料（私隱）條例有關收集及使用個人資料用於直接促銷的通知，以及本人／吾等已獲邀請細閱該通知，提出問題及如有意願，徵求獨立意見。本人／吾等：

agree 同意 do not agree 不同意

to the proposed use of my/our personal data in direct marketing.

個人資料被使用作直接促銷用途。

9 AEOI and FATCA - Self-Certification 自動交換財務帳戶資料及美國外國帳戶稅務合規法案 - 自我證明

Please complete and return the "Self-Certification Form - Entity and/or Controlling Person" to our company, if you have not submitted before.

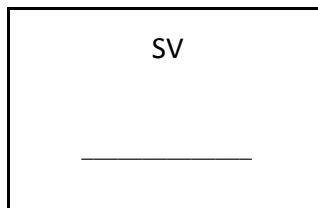
如之前從未遞交，請填妥並交回「自我證明表格-實體/控權人」予本公司。

Declaration of Client 客戶聲明

I/We hereby declare that all information stated above is true and accurate in all aspects.

本人/吾等聲明上述向 貴公司提供的資料正確無誤。

Authorized Signature with Company Chop
授權簽署及公司印章



Date 日期

For Office Use Only

只供本公司使用

Account Executive 業務經理

Name 姓名:

Input By:

Checked By:

Name 姓名:

Name 姓名: